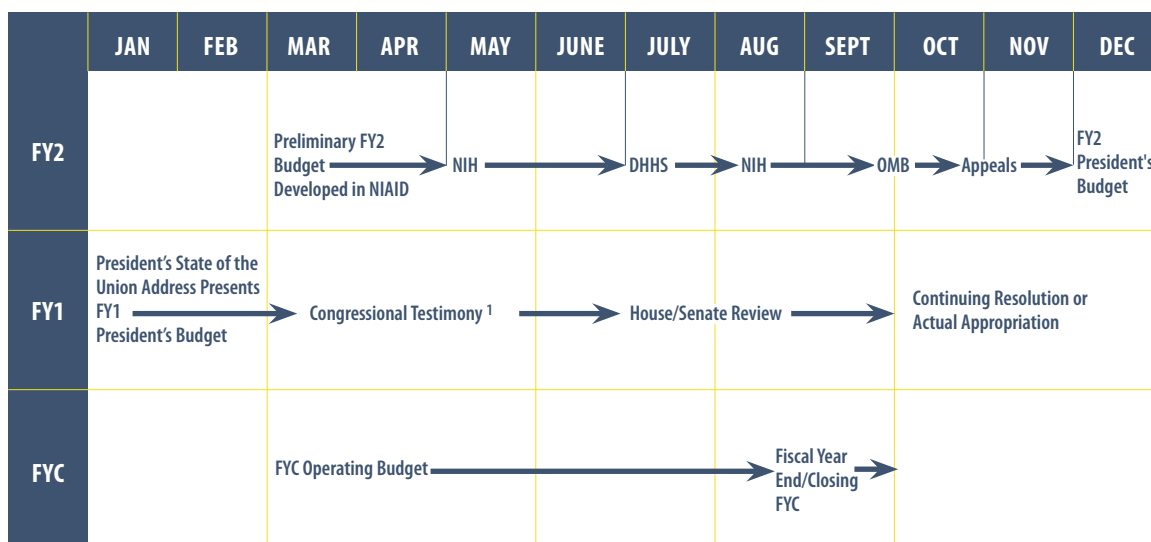


## FEDERAL BUDGET PROCESS



**FISCAL YEAR** = OCTOBER 1 TO SEPTEMBER 30

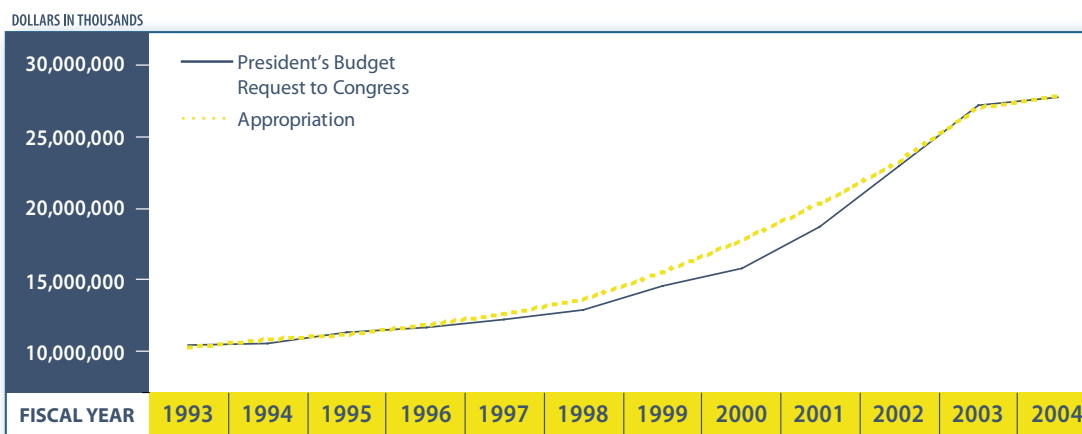
**FY2** = SECOND FUTURE FISCAL YEAR

**FY1** = FIRST FUTURE FISCAL YEAR

**FYC** = CURRENT FISCAL YEAR

<sup>1</sup> NIH Director and NIH Institute and Center Directors, including Director, NIAID, provide congressional testimony to the House and Senate Appropriations Subcommittees on Labor, Health and Human Services, and Education.

# NIH APPROPRIATIONS HISTORY: FY 1993–2004



Fiscal Year	President's Budget Request to Congress	House Allowance	Senate Allowance	Appropriation <sup>a</sup>
(Dollars in Thousands)				
1993	10,579,684,000	10,368,551,000	10,387,721,000	10,326,604,000 <sup>b</sup>
1994	10,667,984,000	10,936,652,000	10,952,389,000	10,937,653,000 <sup>c</sup>
1995	11,473,000,000	11,322,023,000	11,333,181,000	11,299,522,000 <sup>d</sup>
1996	11,773,066,000	11,939,001,000	11,639,204,000	11,927,562,000 <sup>e</sup>
1997	12,406,300,000 <sup>f</sup>	12,747,203,000	12,414,580,000 <sup>g</sup>	12,740,843,000 <sup>h</sup>
1998	13,078,203,000 <sup>i</sup>	13,505,294,000	13,692,844,000	13,674,843,000 <sup>j, k</sup>
1999	14,763,313,000 <sup>l</sup>	14,862,023,000	15,622,386,000	15,629,156,000 <sup>m</sup>
2000	15,932,786,000	16,964,547,000	17,613,470,000	17,820,587,000 <sup>n</sup>
2001	18,812,735,000	20,512,735,000	20,512,735,000	20,458,130,000 <sup>o, p</sup>
2002	23,112,130,000	22,945,199,000	23,765,488,000	23,296,382,000 <sup>q, r</sup>
2003	27,343,417,000 <sup>s, t</sup>	27,351,717,000	27,369,000,000 <sup>u</sup>	27,066,782,000 <sup>t, v</sup>
2004	27,892,765,000 <sup>t</sup>	26,043,991,000	28,369,548,000	27,887,512,000 <sup>w</sup>

a Reflects enacted supplements, rescissions, and reappropriations.

b Reflects enacted administrative reductions of an across-the-board 0.8 percent of \$83,571,000, \$34,857,000 for salaries and expenses, and a consultant services reduction of \$1,342,000. All columns adjusted to include transfer from ADAMHA.

c Reflects a salaries and expense rescission of \$18,120,000. Excludes \$1,000,000 supplemental in NCRR for earthquake relief.

d Includes \$1,299,328,000 for NIH research appropriated to the NIH Office of AIDS Research. Reflects enacted reductions of \$7,446,000 for procurement, \$345,000 for rent, and 4,401,000 for bonus pay, and rescission of \$10,000,000 in NCRR for construction and \$12,384,000 in administrative costs.

e Includes \$1,410,925,000 appropriated to the ICs for HIV research. Incorporates the NIH share of the Government-wide administrative cost rescission (\$5,780,000) and the Labor/HHS/Education bonus pay rescission (\$5,659,000).

f Includes \$1,431,908,000 for HIV research in the NIH Office of AIDS Research.

g Includes \$1,460,312,000 for HIV research in the NIH Office of AIDS Research.

h Includes \$1,501,073,000 for HIV research in the NIH Office of AIDS Research. Incorporated the NIH share of the salaries and expenses reduction (\$6,140,000) and the public/legislative affairs reduction (\$220,000).

i Includes \$1,540,765,000 for HIV research in the NIH Office of AIDS Research.

j Includes \$1,607,053,000 appropriated to the ICs for HIV research.

k Beginning in FY 1998, the appropriation includes funds appropriated to NIDDK for Type 1 diabetes research.

l Reflects a decrease of \$34,530,000 for the budget amendment for bioterrorism. Includes \$1,728,099,000 for HIV research in the NIH Office of AIDS research.

m Includes \$1,800,046,000 appropriated to the ICs for HIV research. Includes \$10,230,000 for rescission.

n Includes \$2,024,956,000 appropriated to the ICs for HIV research. Includes \$99,883,000 for NIH share of across-the-board reduction and reflects \$20,000,000 transferred to CDC. Includes \$40,000,000 in forward funding appropriated in FY 1999.

o Includes \$2,244,987,000 appropriated to ICs for HIV research. Reflects NIH share of across-the-board reduction (\$8,666,000) and \$5,800,000 transferred to the DHHS.

p Beginning in FY 2001, VA/HUD began appropriating Superfund Research funds directly to NIEHS.

q Includes \$2,535,672,000 appropriated to the ICs for HIV research. Reflects NIH share of across-the-board reduction (\$9,273,000) and transfer of \$100M to the Global Fund for HIV/AIDS, malaria, and tuberculosis.

r Includes \$10.5 million appropriated from the Emergency Relief Fund.

s Excludes \$583,000 transferred to the Department of Homeland Security.

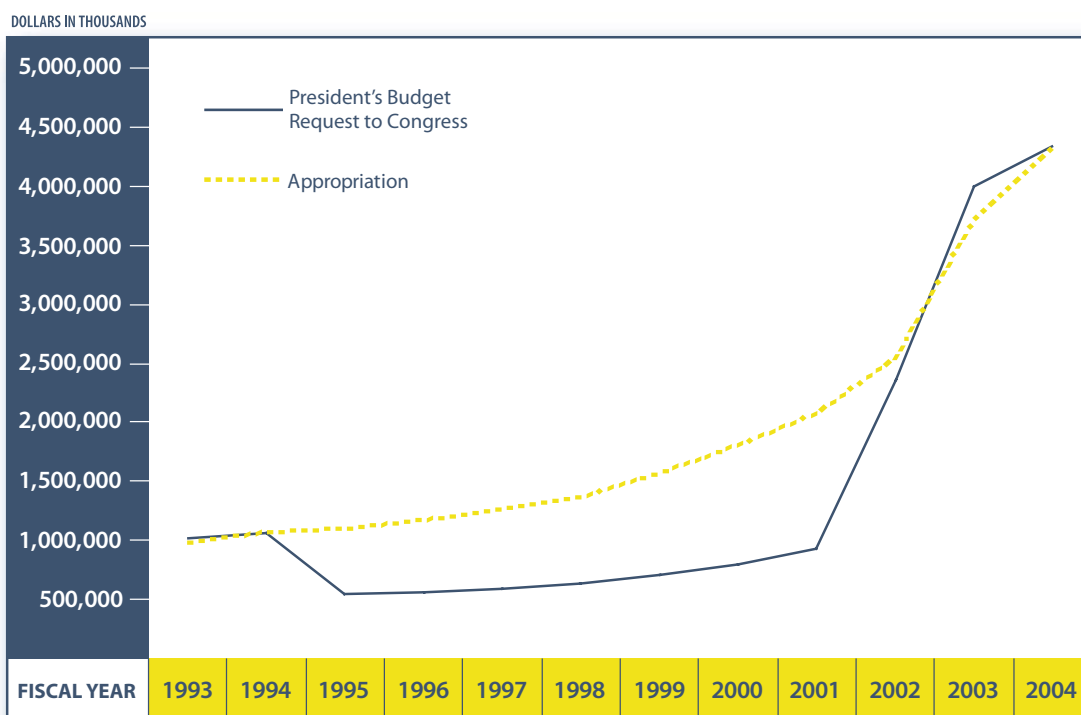
t Includes \$100 million in FY2003 and \$150 million in FY 2004 and FY 2005 authorized to NIDDK for Type 1 diabetes research.

u Includes \$97 million authorized to NIDDK for Type 1 diabetes research.

v Includes \$2,747,463,000 appropriated to the ICs for HIV research. Reflects NIH share of the across-the-board reduction (\$177,085,000), and transfers of \$99,350,000 to the Global Fund to Fight HIV/AIDS, Malaria, and Tuberculosis, and \$583,000 to the Department of Homeland Security.

w Includes \$2,850,581,000 appropriated to the ICs for HIV research. Reflects NIH share of the across-the-board reduction (\$165,459,000), Labor/HHS rescission (\$17,492,000), and transfer of \$149,115,000 to the Global Fund to Fight HIV/AIDS, Malaria, and Tuberculosis.

## NIAID APPROPRIATIONS HISTORY: FY 1993–2004



Fiscal Year	President's Budget Request to Congress	House Allowance	Senate Allowance	Appropriation <sup>a</sup>
(Dollars in Thousands)				
1993	1,010,845	990,055	989,055	979,471 <sup>b</sup>
1994	1,065,583	1,065,583	1,065,583	1,063,704 <sup>c</sup>
1995	542,864 <sup>d</sup>	1,094,633	1,094,633	1,092,507 <sup>e</sup>
1996	557,354 <sup>d</sup>	1,169,628	1,139,326	1,171,168 <sup>f</sup>
1997	584,362 <sup>d</sup>	1,256,149	1,229,009	1,257,794 <sup>g</sup>
1998	634,272 <sup>d</sup>	1,339,459	1,359,688	1,352,119 <sup>h</sup>
1999	703,723 <sup>d,i</sup>	1,470,460	1,540,102	1,569,063
2000	789,156	1,694,019	1,786,718	1,797,988 <sup>j</sup>
2001	936,166	2,062,126 <sup>k</sup>	2,066,526	2,069,388
2002	2,355,325	2,337,204	2,375,836	2,535,788
2003	3,997,369	2,674,213	3,727,473	3,706,789
2004	4,335,255	4,440,007 <sup>l</sup>	4,456,300 <sup>m</sup>	4,303,040 <sup>n</sup>

a Reflects enacted supplements, rescissions, and reappropriations.

b Excludes an enacted administrative reduction of \$12,334,000.

c Includes rescission of \$1,879,000.

d Excludes funds for HIV research activities consolidated in the NIH Office of AIDS Research.

e Includes a rescission of \$1,293,000 and a transfer of \$458,000.

f Includes an enacted administrative reduction of \$1,145,000 and a net NIH Director's transfer of \$2,685.

g Includes a rescission of \$575,000 for administrative expenses and a net positive transfer of \$1,135,000 from the NIH Director's Reserve.

h Includes rescissions and transfers.

i Reflects an increase of \$1,683,000 for the budget amendment for biodefense.

j Includes a rescission amount of \$5,075,000.

k Represents program level.

l Includes \$100M for the Global Fund to fight HIV/AIDS, Malaria, and Tuberculosis and \$14.5M for the Virtual VRC.

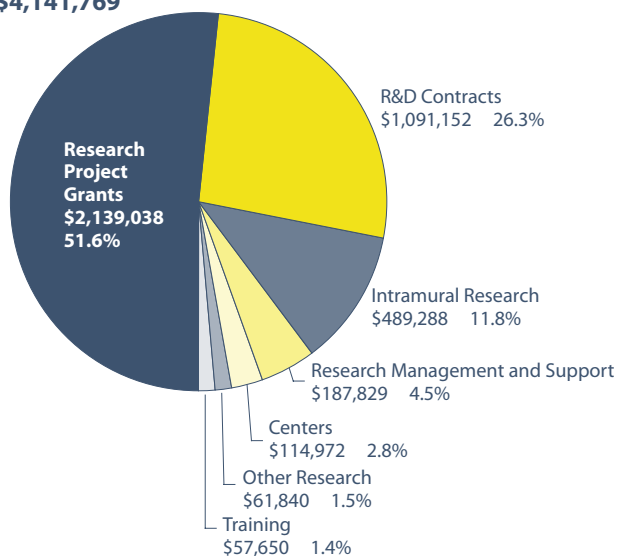
m Includes \$149M for the Global Fund to fight HIV/AIDS, Malaria, and Tuberculosis and \$14.5M for the Virtual VRC.

n Includes \$149M for the Global Fund to fight HIV/AIDS, Malaria, and Tuberculosis.

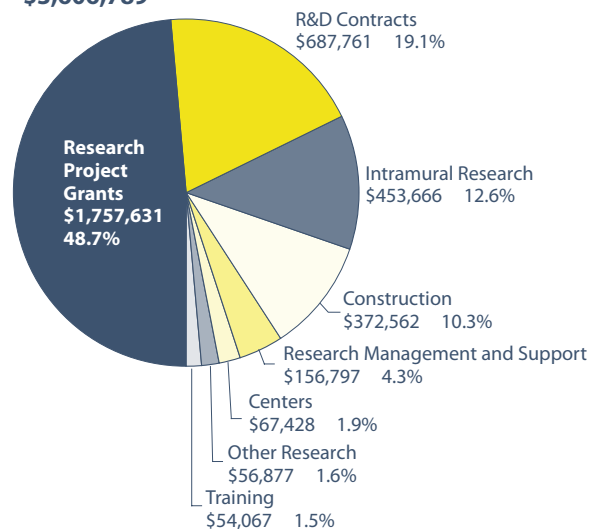
# NIAID FUNDING BY BUDGET MECHANISM: FY 2003–2004

(Dollars in Thousands)

**Fiscal Year 2004**  
**\$4,141,769**



**Fiscal Year 2003**  
**\$3,606,789**

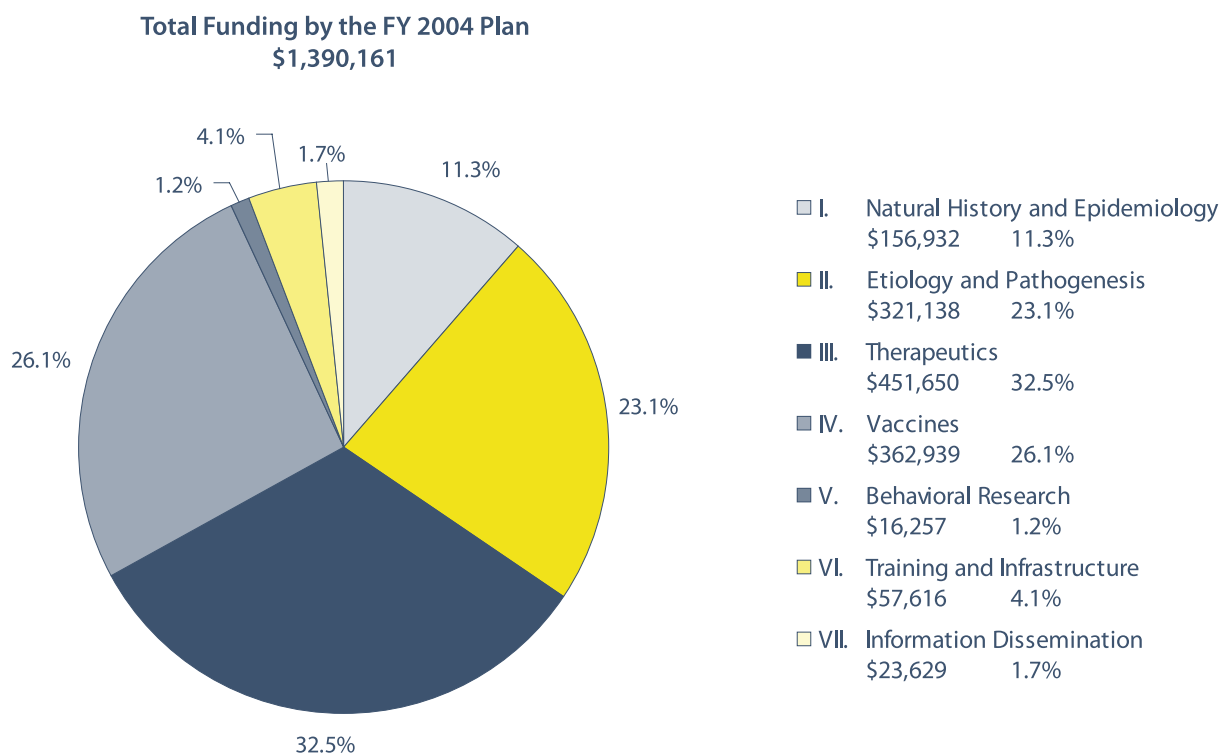


Budget Mechanism	FY 2003 <sup>a</sup>	% of Total	FY 2004 <sup>a</sup>	% of Total	% Change FY03 to FY04
Research Project Grants (RPGs)					
Noncompeting	\$1,286,067		\$1,486,283		
Competing	471,564		652,755		
Subtotal, RPGs	1,757,631	48.7	2,139,038	51.6	+2.9
Centers	67,428	1.9	114,972	2.8	+9
Other Research	56,877	1.6	61,840	1.5	-.1
Training	54,067	1.5	57,650	1.4	-.1
R&D Contracts	687,761	19.1	1,091,152	26.3	+7.2
Subtotal, Extramural	2,623,764		3,464,652		
Intramural Research	453,666	12.6	489,288	11.8	-.8
Research Management and Support	156,797	4.3	187,829	4.5	+.2
Construction	372,562	10.3	0	0	-10.3
Total	\$3,606,789		\$4,141,769		+14.83

<sup>a</sup> Dollars in thousands and reflects actual obligations versus appropriations.

## NIAID FUNDING BY THE FY 2004 NIH PLAN FOR HIV-RELATED RESEARCH<sup>a</sup>

(Dollars in Thousands)

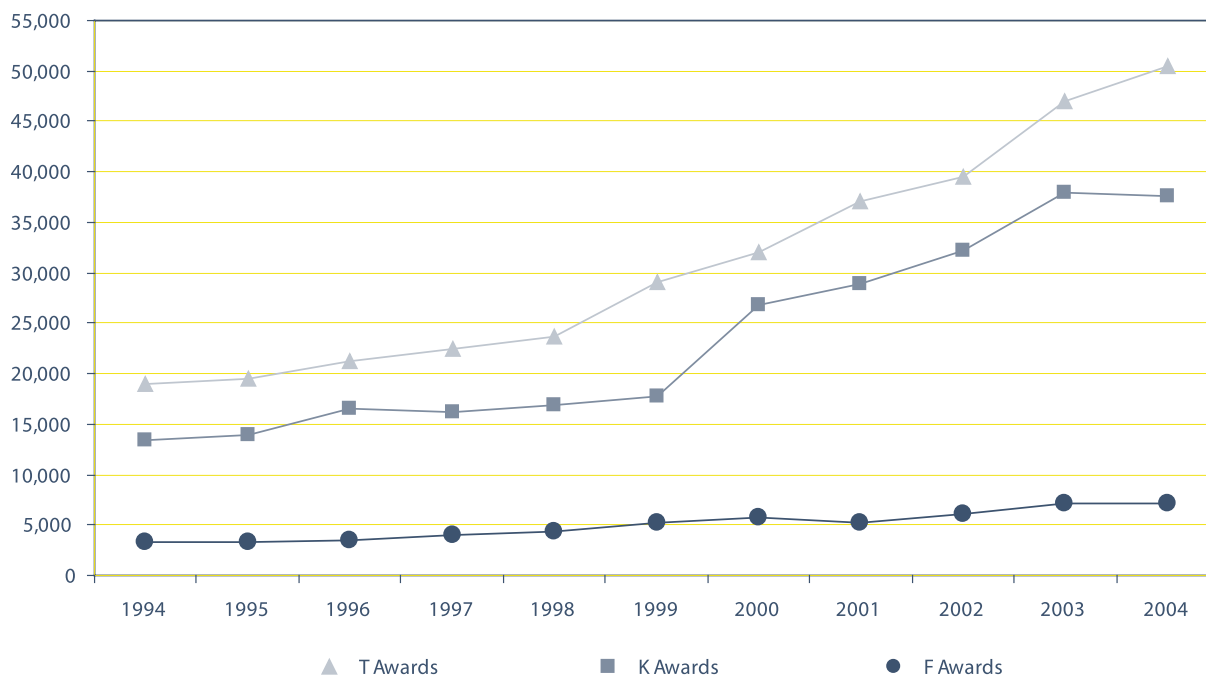


	FY 2004 Actual	
	Amount	Percent of Total
I. Natural History and Epidemiology	156,932	11.3%
II. Etiology and Pathogenesis	321,138	23.1%
III. Therapeutics	451,650	32.5%
IV. Vaccines	362,939	26.1%
V. Behavioral Research	16,257	1.2%
VI. Training and Infrastructure	57,616	4.1%
VII. Information Dissemination	23,629	1.7%
<b>Total Funding by the FY 2004 Plan</b>	<b>1,390,161</b>	<b>100%</b>

<sup>a</sup> A comprehensive plan for HIV-related research developed by the NIH Office of AIDS Research and the NIH Institutes and Centers.

# NIAID RESEARCH TRAINING AND CAREER AWARDS<sup>a</sup> : FY 1994–2004

(Dollars in Thousands)



Fiscal Year	T Awards (Institutional Awards)		K Awards (Career Awards)		F Awards (Individual Training Awards)	
	No. Awards	Dollar Amount	No. Awards	Dollar Amount	No. Awards	Dollar Amount
1994	115	18,894	174	13,411	125	3,378
1995	118	19,539	176	13,884	124	3,386
1996	123	21,254	204	16,566	126	3,439
1997	140	22,478	204	16,159	150	4,067
1998	152	23,738	211	16,908	151	4,350
1999	148	29,092	205	17,686	146	5,177
2000	164	32,035	241	26,863	161	5,709
2001	923	37,113	245	28,885	146	5,266
2002	919	39,474	272	32,237	153	6,162
2003	1,014	46,936	309	38,030	163	7,108
2004	1,087	50,550	314	37,521	173	7,100

<sup>a</sup> Includes F31, F32, F33, F34, K04, K06, K07, K08, K11, T32, T35, and T36 awards (described in the NIH Extramural Funding Mechanisms appendix).